



Surmet Ceramics Corp.

[Instructions and Insurance Requirements Document](#)

Section I: Applicant Background Information

Please answer all questions. Use "None" or "Not Applicable" where necessary. Information in this application may be subject to public review under New York State Law.

Applicant Information - Company Receiving Benefit

Project Name	Surmet- 290 Expansion Project
Project Summary	This new project is about the expansion and build-out of a CIP (cold isostatic press) building and associated foundation (pit) and utilities extensions at our existing Buffalo facility, located at 699 Hertel Avenue, Suite 290, Buffalo, NY 14207. The project consists of an approximate 3,400 square foot building expansion off the southeast corner of the existing structure. The expansion building consists of three main components, a press room with a recessed pit, high pressure room, and pump room.
Applicant Name	Surmet Ceramics Corp.
Applicant Address	699 Hertel Avenue Suite 290
Applicant Address 2	
Applicant City	Buffalo
Applicant State	New York
Applicant Zip	14207
Phone	(781) 345-5721
Fax	(781) 750-3025
E-mail	sjha@surmet.com
Website	www.surmet.com
NAICS Code	541715, 541330, 336413 and 336992

Business Organization

Type of Business	Corporation
Year Established	2003
State in which Organization is established	New York

Individual Completing Application

Name	Dr. Santosh K. Jha
Title	Director of Operations
Address	699 Hertel Avenue Suite 290
Address 2	

City Buffalo
State New York
Zip 14207
Phone (781) 345-5721
Fax (781) 750-3025
E-Mail sjha@surmet.com

Company Contact (if different from individual completing application)

Name Surmet Ceramics Corp.
Title Surmet Ceramics Corp.
Address 699 Hertel Avenue Suite
 290
Address 2
City Buffalo
State New York
Zip 14207
Phone (781) 345-5721
Fax (781) 750-3025
E-Mail sjha@surmet.com

Company Counsel

Name of Attorney TBD
Firm Name
Address
Address 2
City
State
Zip
Phone
Fax
E-Mail

Benefits Requested (select all that apply)

Exemption from Sales Tax Yes
Exemption from Mortgage Tax No
Exemption from Real Property Tax No
Tax Exempt Financing* No

* (typically for not-for-profits & small qualified manufacturers)

Applicant Business Description

Describe in detail company background, history, products and customers. Description is critical in determining eligibility.

Surmet Background: Surmet is an advanced materials manufacturing company, that was founded in 1982 on the principal that today's materials are not adequate for the applications of tomorrow. Surmet initially focused on producing products such as electro-static clamps, and protective coatings for the inside of processing chambers for the Semiconductor Industry. In 2002, Surmet acquired the ALON® Technology from Raytheon with the goal of bringing this transparent ceramic (Transparent Aluminum from Star Trek) from the laboratory to the marketplace. In 2003 when M/ACOM-Tyco planned to close the location, Surmet took over the facility at 699 Hertel Ave., Buffalo, NY where their ALON® Powder was being manufactured, and has been operating this facility ever since. Over the past 18 years, Surmet has taken ALON from the laboratory to marketplace with a combined Surmet and Gov't investment >\$100M. Surmet now produces windows, domes, lenses and transparent armor for the DoD and all of the Major Defense Contractors in the USA. About the New Expansion Project in Buffalo: Surmet and its Gov't customers are now investing >\$13M in new equipment project, to consolidate its forming and heat-treating capabilities in the facility at 699 Hertel Ave., Buffalo, NY. This equipment includes the largest ceramics processing equipment in North America and production scale furnaces for the heat-treatment of ALON. The purpose of this new equipment project is for Surmet to produce the largest transparent ceramic windows ever made for future Reconnaissance systems. This equipment will also be used for Surmet's other product including sensor windows, domes, lenses, and transparent armor. This new project is about the expansion and build-out of a CIP (cold isostatic press) building and associated foundation (pit) and utilities extensions at our existing Buffalo facility, located at 699 Hertel Avenue, Suite 290, Buffalo, NY 14207. The project consists of an approximate 3,400 square foot building expansion off the southeast corner of the existing structure. The expansion building consists of three main components, a press room with a recessed pit, high pressure room, and pump room. The expansion will have a corridor that connects the three main building components to the existing structure. Egress doors are proposed off the press room (overhead) and at the pump room (double). The project will cost about \$2.5M (per Gilbane's proposal after the completion of Phase I: Design study). Surmet is looking for help in the form of Sales Tax Exemption for the construction materials, exemption from Real Property Tax for the proposed building addition, etc. This will help us stay in Buffalo area. In addition to the existing employment, Surmet also has the additional new employment projections in Buffalo as below: Year 1: 8 New employment Year 2: 10 New employment Year 3: 12 New employment Year 4: 15 New employment Year 5: 17 New employment Investment projections for this expansion project are also listed below: Year 1: \$11.5M Year 2: \$1.3M Year 3: \$1.15M Year 4: \$1.15M Year 5: \$1.17M

Estimated % of sales within Erie County	0 %
Estimated % of sales outside Erie County but within New York State	3 %
Estimated % of sales outside New York State but within the U.S.	95 %
Estimated % of sales outside the U.S.	2 %

(*Percentage to equal 100%)

For your operations, company and proposed project what percentage of your total annual supplies, raw materials and vendor services are purchased from firms in Erie County?

70

Describe vendors within Erie County for major purchases

Major purchases from Erie County vendors include gas, chemicals, abrasives, electrical, mechanical, parts and tools and supplies as well as various services for equipment maintenance and repair.

Section II: Eligibility Questionnaire - Project Description & Details

Project Location

Address of Proposed Project Facility

743 Hertel Avenue

Town/City/Village of Project Site

Buffalo

School District of Project Site

Buffalo

Current Address (if different)

Current Town/City/Village of Project Site (if different)

SBL Number(s) for proposed Project

What are the current real estate taxes on the proposed Project Site

Current yearly taxes for Surmet are \$.71 per foot

If amount of current taxes is not available, provide assessed value for each.

Land

\$

Building(s)

\$

If available include a copy of current tax receipt.

Are Real Property Taxes current at project location?

Yes

If no please explain

*The ECIDA has an unpaid tax policy and you will be required to certify all taxes and PILOTS are current.

Does the Applicant or any related entity currently hold fee title or have an option/contract to purchase the Project site?

No

If No, indicate name of present owner of the Project Site

Benderson Development

Does Applicant or related entity have an option/contract to purchase the Project site?

No

Describe the present use of the proposed Project site (vacant land, existing building, etc.)

Existing building with plans for addition - manufacturing user.

Provide narrative and purpose of the proposed project (new build, renovations) square footage of existing and new construction contemplated and/or equipment purchases. Identify specific uses occurring within the project. Describe any and all tenants and any/all end users: (This information is critical in determining project eligibility)

Surmet and its Gov't customers are now investing >\$13M in new equipment project, to consolidate its forming and heat-treating capabilities in the facility at 699 Hertel Ave., Buffalo, NY. This equipment includes the largest ceramics processing equipment in North America and production scale furnaces for the heat-treatment of ALON. The purpose of this new equipment project is for Surmet to produce the largest transparent ceramic windows ever made for future Reconnaissance systems. This equipment will also be used for Surmet's other product including sensor windows, domes, lenses, and transparent armor. This new project is about the expansion and build-out of a CIP (cold isostatic press) building and associated foundation (pit) and utilities extensions at our existing Buffalo facility, located at 699 Hertel Avenue, Suite 290, Buffalo, NY 14207. The project consists of an approximate 3,400 square foot building expansion off the southeast corner of the existing structure. The expansion building consists of three main components, a press room with a recessed pit, high pressure room, and pump room. The expansion will have a corridor that connects the three main building components to the existing structure. Egress doors are proposed off the press room (overhead) and at the pump room (double). The project will cost about \$2.5M (per Gilbane's proposal after the completion of Phase I: Design study). Surmet is looking for help in the form of Sales Tax Exemption for the construction materials, exemption from Real Property Tax for the proposed building addition, etc. This will help us stay in Buffalo area. In addition to the existing employment, Surmet also has the additional new employment projections in Buffalo as below: Year 1: 8 New employment Year 2: 10 New employment Year 3: 12 New employment Year 4: 15 New employment Year 5: 17 New employment Investment projections for this expansion project are also listed below: Year 1: \$11.5M Year 2: \$1.3M Year 3: \$1.15M Year 4: \$1.15M Year 5: \$1.17M

Municipality or Municipalities of current operations

City of Buffalo

Will the Proposed Project be located within a Municipality identified above?

Yes

Will the completion of the Project result in the removal of an industrial or manufacturing plant of the project occupant from one area of the state to another area of the state OR in the abandonment of one or more plants or facilities of the project occupant located within the state?

No

If the Proposed Project is located in a different Municipality within New York State than that Municipality in which current operations are being undertaken, is it expected that any of the facilities in any other Municipality will be closed or be subject to reduced activity?

No

(If yes, you will need to complete the Intermunicipal Move Determination section of this application)

Is the project reasonably necessary to prevent the project occupant from moving out of New York State?

Yes

If yes, please explain and identify out-of-state locations investigated, type of assistance offered and provide supporting documentation available

Burlington, MA - company's current headquarters. If the current facility can't meet the manufacturer's needs through the proposed addition, then operations could be moved out of state.

Have you contacted or been contacted by other Local, State and/or Federal Economic Development Agencies?

Yes

If yes, please indicate the Agency and nature of inquiry below

Invest Niagara, National Grid, and ECIDA.

If the Project could be undertaken without Financial Assistance provided by the Agency, then provide a statement in the space provided below indicating why the Project should be undertaken by the Agency:

Describe the reasons why the Agency's financial assistance is necessary, and the effect the Project will have on the Applicant's business or operations. Focus on competitiveness issues, project shortfalls, etc... Your eligibility determination will be based in part on your answer (attach additional pages if necessary)

Surmet has placed orders for over \$10M of manufacturing equipment, including a very large high pressure Cold Isostatic Press (CIP) and two large production furnaces. We were prepared to install this equipment in the Buffalo facility when we encountered a number of issues which threaten to derail our Buffalo expansion. For structural reasons we are unable to install the CIP inside of the existing building. Consequently, we will need to build additional structure to house it at greater than 3x the original estimated cost. And National Grid has substantially decreased the amount of electricity available for the facility over the past 20 years. These two factors have increased the cost of our installation by millions of dollars. This is a huge additional expense for a small company like Surmet. We are working with a number of governmental agencies, including yours, to help defray these unexpected expenses.

Please confirm by checking the box, below, if there is likelihood that the Project would not be undertaken but for the Financial Assistance provided by the Agency

Yes

If the Applicant is unable to obtain Financial Assistance for the Project, what will be the impact on the Applicant and Erie County?

We need ECIDA assistance so that we can not only stay in Buffalo, but substantially increase our operations there.

Will project include leasing any equipment?

No

If yes, please describe equipment and lease terms.

Site Characteristics

Is your project located near public transportation?

Yes

If yes describe if site is accessible by either metro or bus line (provide route number for bus lines)

Facility is accessible my route 23A

Has a project related site plan approval application been submitted to the appropriate planning department?

Yes

If Yes, include the applicable municipality's and/or planning department's approval resolution, the related State Environmental Quality Review Act ("SEQR") "negative declaration" resolution, if applicable, and the related Environmental Assessment Form (EAF), if applicable.

If No, list the ECIDA as an "Involved Agency" on the related EAF that will be submitted to the appropriate municipality and/or planning department for site plan approval.

Will the Project meet zoning/land use requirements at the proposed location?

Yes

Describe the present zoning/land use

D-C

Describe required zoning/land use, if different

If a change in zoning/land use is required, please provide details/status of any request for change of zoning/land use requirements

Is the proposed Project located on a site where the known or potential presence of contaminants is complicating the development/use of the property?

No

If yes, please explain

Has a Phase I Environmental Assessment been prepared, or will one be prepared with respect to the proposed Project Site?

<BLANK>

If yes, please provide a copy.

Have any other studies, or assessments been undertaken with respect to the proposed Project Site that indicate the known or suspected presence of contamination that would complicate the site's development?

No

If yes, please provide copies of the study.

If you are purchasing new machinery and equipment, does it provide demonstrable energy efficiency benefits?

Yes

If yes, describe the efficiencies achieved

All new equipment that is being purchased has been constructed with the latest state-of-the-art technology as concerns energy efficiency. You may also attach additional information about the machinery and equipment at the end of the application.

Does or will the company or project occupant perform research and development activities on new products/services at the project location?

Yes

If yes, include percentage of operating expenses attributed to R&D activities and provide details.

Approximately 5-7% of operating expenses are attributed to research and development activities.

Select Project Type for all end users at project site (you may check more than one).

For purposes of the following, the term "retail sales" means (i) sales by a registered vendor under Article 28 of the Tax Law of the State of New York (the "Tax Law") primarily engaged in the retail sale of tangible personal property (as defined in Section 1101(b)(4)(i) of the Tax Law), or (ii) sales of a service to customers who personally visit the Project.

Will customers personally visit the Project site for either of the following economic activities? If yes with respect to either economic activity indicated below, you will need to complete the Retail section of this application.

Retail Sales No Services No

Please check any and all end uses as identified below.

- No Acquisition of Existing Facility No Assisted Living No Back Office
No Civic Facility (not for profit) No Commercial No Equipment Purchase
No Facility for the Aging No Industrial No Life Care Facility (CCRC)
No Market Rate Housing No Mixed Use No Multi-Tenant
No Retail No Senior Housing Yes Manufacturing
No Other

For proposed facility please include the square footage for each of the uses outlined below

If applicant is paying for FFE for tenants, include in cost breakdown.

Table with 4 columns: Use, Square feet, Cost, % of Total Cost. Rows include Manufacturing/Processing (3,400 sq ft, \$2,500,000, 100%), Warehouse, Research & Development, Commercial, Retail, Office, and Specify Other.

If you are undertaking new construction or renovations, are you seeking LEED certification from the US Green Building Council?

No

If you answered yes to question above, what level of LEED certification do you anticipate receiving? (Check applicable box)

<BLANK>

Provide estimate of additional construction cost as a result of LEED certification you are seeking < BLANK >

Will project result in significant utility infrastructure cost or uses Yes

What is the estimated project timetable (provide dates).

Start date : acquisition of equipment or construction of facilities

4/1/2021

End date : Estimated completion date of project

12/1/2021

Project occupancy : estimated starting date of occupancy

1/1/2022

Project Information

Estimated costs in connection with Project

1.) Land and/or Building Acquisition

\$ 0 square feet acres

2.) New Building Construction

\$ 2,500,000 3,400 square feet

3.) New Building addition(s)

\$ 0 square feet

4.) Reconstruction/Renovation

\$ 0 square feet

5.) Manufacturing Equipment

\$ 8,000,000

6.) Infrastructure Work

\$ 500,000

7.) Non-Manufacturing Equipment: (furniture, fixtures, etc.)

\$ 0

8.) Soft Costs: (Legal, architect, engineering, etc.)

\$ 0

9.) Other Cost

\$ 500,000

Explain Other Costs electrical, cooling tower, etc.

Total Cost \$ 11,500,000

Construction Cost Breakdown:

Total Cost of Construction	\$ 3,000,000 (sum of 2, 3, 4 and 6 in Project Information, above)
Cost of materials	\$ 750,000.00
% sourced in Erie County	72%

Sales and Use Tax:

Gross amount of costs for goods and services that are subject to State and local sales and use tax- said amount to benefit from the Agency's sales and use tax exemption benefit \$ 750,000

Estimated State and local Sales and Use Tax Benefit (product of 8.75% multiplied by the figure, above): \$ 65,625

** Note that the estimate provided above will be provided to the New York State Department of Taxation and Finance. The Applicant acknowledges that the transaction documents may include a covenant by the Applicant to undertake the total amount of investment as proposed within this Application, and that the estimate, above, represents the maximum amount of sales and use tax benefit that the Agency may authorize with respect to this Application. The Agency may utilize the estimate, above, as well as the proposed total Project Costs as contained within this Application, to determine the Financial Assistance that will be offered.

Project refinancing estimated amount, if applicable (for refinancing of existing debt only) \$ 0

Have any of the above costs been paid or incurred as of the date of this Application? No

If Yes, describe particulars:

Sources of Funds for Project Costs:

Equity (excluding equity that is attributed to grants/tax credits): \$ 11,500,000

Bank Financing: \$ 0

Tax Exempt Bond Issuance (if applicable): \$ 0

Taxable Bond Issuance (if applicable): \$ 0

Public Sources (Include sum total of all state and federal grants and tax credits): \$ 0

Identify each state and federal grant/credit: (ie Historic Tax Credit, New Market Tax Credit, Brownfield, Cleanup Program, ESD, other public sources) 0

Total Sources of Funds for Project Costs: \$11,500,000

Have you secured financing for the project? No

Mortgage Recording Tax Exemption Benefit:

Amount of mortgage, if any that would be subject to mortgage recording tax:

Mortgage Amount (include sum total of construction/permanent/bridge financing). 0

Lender Name, if Known

Estimated Mortgage Recording Tax Exemption Benefit (product of mortgage amount as indicated above multiplied by 3/4 of 1%): \$0

Real Property Tax Benefit:

Identify and describe if the Project will utilize a real property tax exemption benefit other than the Agency's PILOT benefit (485-a, 485-b, other):

IDA PILOT Benefit: Agency staff will indicate the estimated amount of PILOT Benefit based on estimated Project Costs as contained herein and anticipated tax rates and assessed valuation, including the annual PILOT Benefit abatement amount for each year of the PILOT benefit and the sum total of PILOT Benefit abatement amount for the term of the PILOT as depicted in the PILOT worksheet in the additional document section.

Percentage of Project Costs financed from Public Sector sources: Agency staff will calculate the percentage of Project Costs financed from Public Sector sources based upon the Sources of Funds for Project Costs as depicted above. The percentage of Project Costs financed from public sector sources will be depicted in the PILOT worksheet in the additional document section.

ECIDA encourages applicants to utilize MBE/WBE contractors for their projects. Describe your company's internal practices that promote MBE/WBE hiring and utilization

Is project necessary to expand project employment?

Yes

Is project necessary to retain existing employment?

Yes

Will project include leasing any equipment?

No

If yes, please describe equipment and lease terms.

Employment Plan (Specific to the proposed project location)

You must include a copy of the most recent NYS-45 Quarterly Combined Withholding, Wage Reporting and Unemployment Insurance Return. The Labor Market Area consists of the following six counties: Erie, Niagara, Chautauqua, Cattaraugus, Wyoming and Genessee.

By statute, Agency staff must project the number of FTE jobs that would be retained and created if the request for Financial Assistance is granted. Agency staff will project such jobs over the TWO Year time period following Project completion. Agency staff converts PT jobs into FTE jobs by dividing the number of PT jobs by two (2).

	Current # of jobs at proposed project location or to be relocated at project location	If financial assistance is granted – project the number of FT and PT jobs to be retained	If financial assistance is granted – project the number of FT and PT jobs to be created upon 24 months (2 years) after Project completion	Estimate number of residents of the Labor Market Area in which the project is located that will fill the FT and PT jobs to be created upon 24 months (2 years) after project completion **
Full time	13	13	18	18
Part time	0	0	0	0
Total	13	13	18	

Salary and Fringe Benefits for Jobs to be Retained and Created

Category of Jobs to be Retained and/or Created	# of Employees Retained and/or Created	Average Salary for Full Time	Average Fringe Benefits for Full Time	Average Salary for Part Time (if applicable)	Average Fringe Benefits for Part Time (if applicable)
Management	4	\$ 65,000	\$ 30,000	\$ 0	\$ 0
Professional	6	\$ 60,000	\$ 25,000	\$ 0	\$ 0
Administrative	1	\$ 45,000	\$ 10,000	\$ 0	\$ 0
Production	20	\$ 40,000	\$ 9,000	\$ 0	\$ 0
Independent Contractor	0	\$ 0	\$ 0	\$ 0	\$ 0
Other	0	\$ 0	\$ 0	\$ 0	\$ 0

** Note that the Agency may utilize the foregoing employment projections, among other items, to determine the financial assistance that will be offered by the Agency to the Applicant. The Applicant acknowledges that the transaction documents may include a covenant by the Applicant to retain the number of jobs and create the number of jobs with respect to the Project as set forth in this Application.

Employment at other locations in Erie County: (provide address and number of employees at each location):

Address			
Full time	0	0	0
Part time	0	0	0
Total	0	0	0

Payroll Information

Annual Payroll at Proposed Project Site upon completion

1,465,000

Estimated average annual salary of jobs to be retained (Full Time)

52,500

Estimated average annual salary of jobs to be retained (Part Time)

0

Estimated average annual salary of jobs to be created (Full Time)

55,000

Estimated average annual salary of jobs to be created (Part Time)

0

Estimated salary range of jobs to be created

From (Full Time)	40,000	To (Full Time)	65,000
From (Part Time)	0	To (Part Time)	0

Section III: Environmental Questionnaire

INSTRUCTIONS: Please complete the following questionnaire as completely as possible. If you need additional space to fully answer any question, please attach additional page(s).

General Background Information

Address of Premises

743 Hertel Avenue Buffalo, NY 14207

Name and Address of Owner of Premises

Benderson Development 570 Delaware Avenue Buffalo, NY 14202

Describe the general features of the Premises (include terrain, location of wetlands, coastlines, rivers, streams, lakes, etc.)

Multi-tenant, manufacturing, medical, professional office.

Describe the Premises (including the age and date of construction of any improvements) and each of the operations or processes carried out on or intended to be carried on at the Premises

Describe all known former uses of the Premises

Does any person, firm or corporation other than the owner occupy the Premises or any part of it?

Yes

If yes, please identify them and describe their use of the property

Surmet Corp.

Have there been any spills, releases or unpermitted discharges of petroleum, hazardous substances, chemicals or hazardous wastes at or near the Premises?

No

If yes, describe and attach any incident reports and the results of any investigations

Has the Premises or any part of it ever been the subject of any enforcement action by any federal, state or local government entity, or does the preparer of this questionnaire have knowledge of: a) any current federal, state or local enforcement actions; b) any areas of non-compliance with any federal, state or local laws, ordinances, rules or regulations associated with operations over the past 12 months?

No

If yes, please state the results of the enforcement action (consent order, penalties, no action, etc.) and describe the circumstances

Has there been any filing of a notice of citizen suit, or a civil complaint or other administrative or criminal procedure involving the Premises?

No

If yes, describe in full detail

Solid And Hazardous Wastes And Hazardous Substances

Does any activity conducted or contemplated to be conducted at the premises generate, treat or dispose of any petroleum, petroleum-related products, solid and hazardous wastes or hazardous substances?

No

If yes, provide the Premises' applicable EPA (or State) identification number

Have any federal, state or local permits been issued to the Premises for the use, generation and/or storage of solid and hazardous wastes?

No

If yes, please provide copies of the permits.

Identify the transporter of any hazardous and/or solid wastes to or from the Premises

Identify the solid and hazardous waste disposal or treatment facilities which have received wastes from the Premises for the past two (2) years

Does or is it contemplated that there will occur at the Premises any accumulation or storage of any hazardous wastes on-site for disposal for longer than 90 days?

No

If yes, please identify the substance, the quantity and describe how it is stored

Discharge Into Waterbodies

Briefly describe any current or contemplated industrial process discharges (including the approximate volume, source, type and number of discharge points). Please provide copies of all permits for such discharges

Identify all sources of discharges of water, including discharges of waste water, process water, contact or noncontact cooling water, and stormwater. Attach all permits relating to the same. Also identify any septic tanks on site

Is any waste discharged into or near surface water or groundwaters?

No

If yes, please describe in detail the discharge including not only the receiving water's classification, but a description of the type and quantity of the waste

Air Pollution

Are there or is it contemplated that there will be any air emission sources that emit contaminants from the Premises?

No

If yes, describe each such source, including whether it is a stationary combustion installation, process source, exhaust or ventilation system, incinerator or other source

Are any of the air emission sources permitted?

No

If yes, attach a copy of each permit.

Storage Tanks

List and describe all above and under ground storage tanks at the Premises used to store petroleum or gasoline products, or other chemicals or wastes, including the contents and capacity of each tank. Please also provide copies of any registrations/permits for the tanks

Have there been any leaks, spills, releases or other discharges (including loss of inventory) associated with any of these tanks?

<BLANK>

If yes, please provide all details regarding the event, including the response taken, all analytical results or reports developed through investigation (whether internal or external), and the agencies which were involved

Polychlorinated Biphenyls ("PCB" or "PCBs") And Asbestos

Provide any records in your possession or known to you to exist concerning any on-site PCBs or PCB equipment, whether used or stored, and whether produced as a byproduct of the manufacturing process or otherwise.

Have there been any PCB spills, discharges or other accidents at the Premises?

No

If yes, relate all the circumstances

Do the Premises have any asbestos containing materials?

No

If yes, please identify the materials

Section IV: Facility Type - Single or Multi Tenant

Is this a Single Use Facility or a Multi-Tenant Facility?

Single Use Facility

For Single Use Facility

Occupant Name Surmet Corp.
Address 699 Hertel Avenue Suite 290, Buffalo, NY 14207
Contact Person Santosh K. Jha
Phone (781) 345-5721
Fax (781) 750-3025
E-Mail sjha@surmet.com
Federal ID # 51-046-4403
SIC/NAICS Code 541715, 336413, 336992

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Section VI: Retail Determination

To ensure compliance with Section 862 of the New York General Municipal Law, the Agency requires additional information if the proposed Project is one where customers personally visit the Project site to undertake either a retail sale transaction or to purchase services.

Please answer the following:

Will any portion of the project (including that portion of the costs to be financed from equity or other sources) consist of facilities or property that are or will be primarily used in making sales of goods or services to customers who personally visit the project site?

No

If yes, complete the Retail Questionnaire Supplement below.

Section VII: Adaptive Reuse Projects

Adaptive Reuse is the process of adapting old structures or sites for new purposes.

Are you applying for tax incentives under the Adaptive Reuse Program?

No

Section VIII: Inter-Municipal Move Determination

The Agency is required by state law to make a determination that, if completion of a Project benefiting from Agency Financial Assistance results in the removal of an industrial or manufacturing plant of the project occupant from one area of the state to another area of the state or in the abandonment of one or more plants or facilities of the project occupant located within the state, Agency financial Assistance is required to prevent the project occupant from relocating out of the state, or is reasonably necessary to preserve the project occupant's competitive position in its respective industry.

Current Address

743 Hertel Avenue

City/Town

Buffalo

State

New York

Zip Code

14207

Will the project result in the removal of an industrial or manufacturing plant of the Project occupant from one area of the state to another area of the state?

No

Will the project result in the abandonment of one or more plants or facilities of the Project occupant located within the state?

No

If Yes to either question, explain how, notwithstanding the aforementioned closing or activity reduction, the Agency's Financial Assistance is required to prevent the Project from relocating out of the State, or is reasonably necessary to preserve the Project occupant's competitive position in its respective industry:

Does this project involve relocation or consolidation of a project occupant from another municipality?

Within New York State

No

Within Erie County

No

If Yes to either question, please, explain

Will the project result in a relocation of an existing business operation from the City of Buffalo?

No

If yes, please explain the factors which require the project occupant to relocate out of the City of Buffalo (For example, present site is not large enough, or owner will not renew leases etc.)

What are some of the key requirements the project occupant is looking for in a new site? (For example, minimum sq. ft., 12 foot ceilings, truck loading docs etc.)

If the project occupant is currently located in Erie County and will be moving to a different municipality within Erie County, has the project occupant attempted to find a suitable location within the municipality in which it is currently located?

No

What factors have lead the project occupant to consider remaining or locating in Erie County?

If the current facility is to be abandoned, what is going to happen to the current facility that project occupant is located in?

Please provide a list of properties considered, and the reason they were not adequate. (Some examples include: site not large enough, layout was not appropriate, did not have adequate utility service, etc.) Please include full address for locations.

Section IX: Senior Housing

IDA tax incentives may be granted to projects under the Agency's Senior Citizen Rental Housing policy when the project consists of a multi-family housing structure where at least 90% of the units are (or are intended to be) rented to and occupied by a person who is 60 years of age or older.

Are you applying for tax incentives under the Senior Rental Housing policy?

No